

Attorney Docket No.: 10766/00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application of: John NAFEH and Kenton K. YEE
For: METHODS AND APPARATUS FOR
FORMULATION, INITIAL PUBLIC OR
PRIVATE OFFERING, AND SECONDARY
MARKET TRADING OF RISK MANAGEMENT
CONTRACTS

U.S. Serial No.: To Be Assigned
Filed: Concurrently
Group Art Unit: To Be Assigned
Examiner: To Be Assigned

BOX PATENT APPLICATION
Assistant Director
for Patents
Washington, D.C. 20231

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DATE OF DEPOSIT = AUGUST 6, 2001
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Derrick T. Gordon

Name of Person Mailing Paper or Fee

Derrick T. Gordon
Signature

August 6, 2001

Date of Signature

Dear Sir:

TRANSMITTAL OF PrintEFS PATENT APPLICATION


BIBLIOGRAPHIC DATA

Submitted herewith is bibliographic data (2 pages) for
the above-identified application, in the PrintEFS Version

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1.0.1 program format.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tarnay', is written over a horizontal line.

Thomas N. Tarnay
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TNT:pm

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August 6, 2001

10766/00103

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APPLICATION INFORMATION

Title Line One:: METHODS AND APPARATUS FOR FORMULATION, I
Title Line Two:: INITIAL PUBLIC OR PRIVATE OFFERING, AND S
Title Line Three:: SECONDARY MARKET TRADING OF RISK MANAGEME
Title Line Four:: NT CONTRACTS
Total Drawing Sheets:: 6
Formal Drawings?: Yes
Application Type:: Utility
Docket Number:: 17066/00103
Secrecy Order in Parent Appl.?: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 26116

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